

You're my Trusted Contact Person



This form should be completed by you and given to your Trusted Contact Person.

I, _____, have appointed you, _____,
[name] [trusted contact person's name]
as my Trusted Contact Person on _____.
[date, MM/DD/YYYY]

Name of Investment Firm:

Name of Registered Financial Advisor:

Account type (optional):

Address:

Phone:

Email:

What you should do if you're contacted

My financial advisor may ask you questions about me. The information you provide may assist them in protecting my account or investments. The conversation may raise topics that are difficult in nature.

You can answer their questions to the best of your ability, although you are under no obligation to do so. If you don't know the answer or wish not to answer, it's okay to say so.

If you are not
comfortable acting
as my Trusted
Contact Person,
please let me know.

Make sure the person you are talking to is from my investment firm. If you are unable to verify that an unsolicited call is legitimate, hang up. Use the information in this pamphlet to call my financial advisor or investment firm.

The role of a Trusted Contact Person

As my Trusted Contact Person, you may be asked to assist my financial advisor in protecting my investment account. You may know more about my personal situation, my family dynamic or health information. You may be asked questions about me if there are concerns about financial exploitation or diminished mental capacity, or if there is an emergency.

When you might be contacted

I have given my financial advisor permission to contact you under these circumstances (check all that apply):



- To confirm my contact information
- To confirm my legal representative(s)
- If financial exploitation is suspected
- If there are concerns about mental capacity
- Other (please specify):

Types of questions you might be asked

My financial advisor may ask you questions depending on the situation.

For example, you may be asked to confirm my contact information if my advisor is unable to reach me after several attempts. Or, if there are concerns about mental capacity, my advisor may ask if you have noticed changes in my behaviour.



A Trusted Contact Person cannot make financial decisions or account changes. An individual named as a Trusted Contact Person is not a legal representative, guardian or trustee.



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